1 of 9



Application/Control No.	Applicant(s)/Patent under Reexamination STOLTZ, ALLISON				
10/022,438					
Examiner	Art Unit				
Tamara L. Graysay	3623				

SEARCHED						
Class	Subclass	Date	Examiner			
705	7	6/11/2005	TG			

INTERFERENCE SEARCHED						
Class	Subclass	Date	Examiner			
	<u></u>					

SEARCH NOTES (INCLUDING SEARCH STRATEGY)						
	DATE	EXMR				
PLUS search - printout attached	6/7/2005	TG				
EAST search - printout attached	6/11/2005	TG				
DIALOG search - printout attached	6/11/2005	TG				

PLUS Search Results for S/N 10022438, Searched June 07, 2005

The Patent Linguistics Utility System (PLUS) is a USPTO automated search system for U.S. Patents from 1971 to the present. PLUS is a query-by-example search system which produces a list of patents that are most closely related linguistically to the application searched. This search was prepared by the staff of the Scientific and Technical Information Center, SIRA.

Ref	Hits	Search Query								
# S1	892		DBs	Default Operator	Plurals	Time Stamp				
_		(risk same business) and (survey or question or response or feedback or predict)	USPAT	OR	ON	2005/06/11 21:36				
S2	612	(risk same business) and (survey or question or response or feedback or predict) and (index or weight or factor)	USPAT	OR	ON	2005/06/11 21:36				
S3	151	(risk same business) and (survey or question or response or feedback or predict) and ((index or weight or factor) with (consequence or risk))	USPAT	OR		2005/06/11 21:40				
S4	40	(risk same business) and (survey or question or response or feedback or predict)	EPO; JPO; DERWENT	OR		2005/06/11 22:04				

### A. STRATEGY SUMMARY

b411

sf all

s (risk(7n)(business or enterprise or company))(s)((index or indices or weight or weighted or weighting or factor)(7n)(risk or consequence)) and (survey? ? or question? ? or questionnaire? ? or feedback or respons???)

s (risk(7n)(business or company))(9n)((index or indices or weight or weighted or weighting or factor)(7n)(risk or consequence))(s)(survey? ? or question? ? or questionnaire? ? or feedback or respons???)

### **B. STRATEGY SUMMARY**

b 9,15,16,20,148,275,476,610,810,613,813,621,624,634,636,2,35,65,99,474,475,583,256,6,7,8,34,434,94

s (risk(7n)(business or enterprise or company))(10n)(index or indices or weight or weighted or weighting or rank or ranking or factor) and (survey? ? or question? ? or questionnaire? ? or feedback or respons???)

### C. STRATEGY SUMMARY

b411

sf all

s allison(2n)stoltz

# D. STRATEGY SUMMARY

b411

sf all

s (severity()risk()index)(7n)(consequence or non()compliance)

### E. STRATEGY SUMMARY

b411

sf all

s statement()on()auditing()standards and (risk(7n)(factor or factors or index or indices))(s)(business or enterprise or company)

# Multiple databases searched:

#### FullText\_

- 9: Business & Industry
- 15: ABI/INFORM
- 16, 160: PROMT, Gale
- 20: Global Reporter, Gale
- 148: Trade & Industry Database, Gale
- 275: Computer Database, Gale
- 476: Financial Times
- 610,810: Business Wire
- 613,813: PR Newswire
- 621: New Product Announcements/Plus, Gale
- 624: McGraw-Hill Companies Pubs Online
- 634: San Jose Mercury News
- 636: Newsletter Database, Gale Group

### Group M

- 6: NTIS-Nat'l Technical Information Service
- 63: Transportation Research Info Service
- 637: The Journal of Commerce

# NonFullText

- 2: INSPEC
- 35: Dissertation Abstracts Online
- 65: Inside Conferences
- 99: Wilson Applied Science & Tech Abstracts
- 474: New York Times Abstracts
- 475: Wall Street Journal Abstracts
- 583: Globalbase, Gale Group

#### Software and Technology Oriented

■ 256: Softbase: Reviews, Companies, Products

#### Group G

- 6: NTIS-Nat'l Technical Information Service
- 7: Social SciSearch
- 8: Ei Compendex
- 34, 434: SciSearch
- 94: JICST-Eplus Japanese Science & Tech

	Set S1	559		COMPANY))(9N)((INDEX OR INDICES OR W-
		EN	ICE))(S)(SURVEY? ? OR Q	GHTING OR FACTOR) (7N) (RISK OR CONSEQU- UESTION? ? OR QUESTIONNAIRE? ? OR FEE-
	00		BACK OR RESPONS???)	TOD TOTAL
	\$2	45	S1 AND (RANK? ? OR PR	IORITI?E)
	S3	35	RD (unique items)	
	S4	20	S3 NOT PY>2001	•
File		SciSearch(R)	1972-2005/Jun Wl Sci Info	File 211:Gale Group Newsearch(TM) 2005/Jun 13 (c) 2005 The Gale Group
File	11:PsycINFO			File 258:AP News Jul 2000-2005/Jun 12
File	13:BAMP 200	5/Jun Wl	chological Assn.	(c) 2005 Associated Press File 267:Finance & Banking Newsletters 2005/Jun 07
File		The Gale orm(R) 1971-	Group -2005/Jun 10	<pre>(c) 2005 The Dialog Corp. File 277:ONTAP(R) Investext(R)</pre>
m:lo			Info&Learning	(c) 1992 Thomson Financial Networks
riie		The Gale C	1990-2005/Jun 13 Group	File 292:GEOBASE(TM) 1980-2005/May B1 (c) 2005 Elsevier Science Ltd.
File		oup F&S Inde	ex(R) 1988-2005/Jun 13	File 349:PCT FULLTEXT 1979-2005/UB=20050609,UT=20050602 (c) 2005 WIPO/Univentio
File	19:Chem.Ind	lustry Notes	3 1974-2005/ISS 200523	File 420:UnCover 1988-2001/May 31
File		Amer.Chem. Hobal Repor	Soc. Ster 1997-2005/Jun 12	(c) 2001 The UnCover Company File 432:Tampa Tribune 1998-2005/Jun 10
	(c) 2005	The Dialog	Corp.	(c) 2005 Tampa Tribune
rile	21:NCJRS 19 (c) form		)5 The Dialog Corporation	File 440:Current Contents Search(R) 1990-2005/Jun 10 . (c) 2005 Inst for Sci Info
File			nline 1861-2005/May Info&Learning	File 471:New York Times Fulltext 19802005/Jun 12 (c) 2005 The New York Times
File	47:Gale Gro		DB(TM) 1959-2005/Jun 13	File 484:Periodical Abs Plustext 1986-2005/Jun Wl (c) 2005 ProQuest
File	73:EMBASE 1	.974-2005/Jับ		File 485:Accounting & Tax DB 1971-2005/Jun W1
File	75:TGG Mana	gement Cont	ents(R) 86-2005/Jun W1	(c) 2005 ProQuest Info&Learning File 501:Extel Intl News Cards 1995-2002/Mar W4
File		The Gale Coup Business	Group G. A.R.T.S. 1976-2005/Jun 13	<pre>(c) 2002 Extel Financial Inc File 541:SEC Online(TM) Annual Repts 1997/Sep W3</pre>
	(c) 2005	The Gale G		(c) 1987-1997 SEC Online Inc. File 542:SEC Online(TM) 10-K Reports 1997/Sep W3
	(c) 20 <b>0</b> 5	FIZ TECHNI	IK .	(c) 1987-1997 SEC Online Inc.
File	96:FLUIDEX (c) 2005		May Science Ltd.	File 543:SEC Online(TM) 10-Q Reports 1997/Sep W3 (c) 1987-1997 SEC Online Inc.
File	101:Disclosu	re Database	e(R) 2005/Jun W1	File 544:SEC Online(TM) Proxy Repts 1997/Sep W3
File	(C) 2005 139:EconLit	Thomson Fi 1969-2005/J		(c) 1987-1997 SEC Online Inc. File 545:Investext(R) 1982-2005/Jun 10
mila			Economic Association	(c) 2005 Thomson Financial Networks
File	141:Readers (c) 2005	Guide 1983- The HW Wil		File 553:Wilson Bus. Abs. FullText 1982-2004/Dec (c) 2005 The HW Wilson Co
File		ciences Abs The HW Wil	stracts 1983-2005/Jun	File 570:Gale Group MARS(R) 1984-2005/Jun 13 (c) 2005 The Gale Group
File	144:Pascal 1	.973-2005/Ma	y W5	File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
File		INIST/CNRS	; Industry DB 1976-2005/Jun	(c) 2002 The Gale Group File 606:Africa News 1999-2005/Jun 10
13	(a) 2005	The Cala Cu	-	(c) 2005 Africa News Service Via Comtex
File		The Gale Grath&Wellness	oup DB(SM) 1976-2005/Jun W1	File 608:KR/T Bus.News. 1992-2005/Jun 12 (c)2005 Knight Ridder/Tribune Bus News
File	(c) 2005 155:MEDLINE(	The Gale G R) 1951-200	<u>-</u>	File 609:Bridge World Markets 2000-2001/Oct 01 (c) 2001 Bridge
File	(c) form 156:ToxFile		The Dialog Corp.	File 610:Business Wire 1999-2005/Jun 10 (c) 2005 Business Wire.
Filo	c) form 160:Gale Gro		The Dialog Corporation	File 613:PR Newswire 1999-2005/Jun 12 (c) 2005 PR Newswire Association Inc
	(c) 1999	The Gale G	Froup	File 614:AFP English Wire 1999-2005/Jun 12
File	161:Occ.Saf. (c) Form		8-1998/Q3 98 The Dialog Corp.	(c) 2005 Agence France Press File 619:Asia Intelligence Wire 1995-2005/Jun 11
File	162:Global H	lealth 1983-	2005/May	(c) 2005 Fin. Times Ltd
File		CAB Intern Register 19	national 985-2005/Jun 10	File 620:EIU:Viewswire 2005/Jun 10 (c) 2005 Economist Intelligence Unit
File	(c) 2005 194:FBODaily		y The DIALOG Corp	File 621:Gale Group New Prod.Annou.(R) 1985-2005/Jun 13 (c) 2005 The Gale Group
			of The Dialog Corp.	(c) 2003 The Gale Gloup

Set

Items

Description

S1	197	(RISK(7N) (BUSINESS OR	COMPANY)	)(9N)((INDEX OR INDICES OR W-
	E	GHT OR WEIGHTED OR WEIG	SHTING OF	R FACTOR) (7N) (RISK OR CONSEQU-
				? OR QUESTIONNAIRE? ? OR FEE-
		BACK OR RESPONS???)	220110111	× × × × × × × × × × × × × × × × × × ×
00			CODIMINAL)	
S2	34	S1 AND (RANK? ? OR PRI	LORITI?E)	
<b>S</b> 3 ·	28	RD (unique items)		
S4	8	S3 NOT PY>2001		
File 625:American	Banker F	Publications 1981-2005/Jun	File	756:Daily/Sunday Telegraph 2000-2005/Jun 12 (c) 2005 Telegraph Group
	American		File	759:Business Insights 1992-2005/May
_		Text 1981-2005/Jun 10		(c) 2005 Datamonitor
	Bond Buy		File	761:Datamonitor Market Res. 1992-2005/May
		e(R) 1985-2005/Jun 11		(c) 2005 Datamonitor
		: Info&Learning	File	768:EIU Market Research 2005/Apr 21 (c) 2005 EIU
13	up Newsie	etter DB(TM) 1987-2005/Jun	rile	774:EdgarPlus(TM)-Prospectuses 2004/Mar 09
	The Gale	Group	1116	(c) 2004 Disclosure Inc
		Camera 1995- 2005/Jun 10	File	775:EdgarPlus(TM)-Reg. Statements 2004/Mar 09
	The Dail			(c) 2004 Disclosure Inc
		re ASAP(TM) 2005/Jun 02	File	778:EdgarPlus(TM)-10-K & 20-F Filings 2004/Mar
	The Gale		09	
File 654:US Pat.F				(c) 2004 Disclosure Inc
		2005 The Dialog Corp.	File	781:ProQuest Newsstand 1998-2005/Jun 12
		vice 1991-2002/Jul 02		(c) 2005 ProQuest Info&Learning
		News Service	File	985:World News Connection(R) 1995-2005/Jun 12
File 703:USA Toda	-		File	(c) 2005 NTIS 990:NewsRoom Current Jan 1 -2005/Jun 12
	USA Toda	egonian 1989-2005/Jun 10	riie	(c) 2005 The Dialog Corporation
	The Oreg	<del>-</del>	File	991:NewsRoom 2005 Jan 1-2005/Jan 31
		: 19922005/Jun 11		(c) 2005 The Dialog Corporation
		nina Morning Post	File	992:NewsRoom 2004 Jan 1-2004/Dec 31
File 728:Asia/Pac				(c) 2005 The Dialog Corporation
		Corporation	File	993:NewsRoom 2003
		am. 1990- 2000/Nov 21		(c) 2005 The Dialog Corporation
		ncisco Examiner	File	994:NewsRoom 2002
		ls 1994-2005/May 31		(c) 2005 The Dialog Corporation
(c) 2005	The Dial	log Corporation	File	995:NewsRoom 2001
יייי אייי פייי	and 17a	2222 1005 2005 / Tim 11	m:1-	(c) 2005 The Dialog Corporation
	-	papers 1995-2005/Jun 11 Zealand Ltd.	riie	996:NewsRoom 2000 (c) 2005 The Dialog Corporation
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	Set	Items	Descript	ion			
	S1	1842	(RISK(7N	) (BUSINESS	OR ENTE	ERPRI	SE OR COMPANY))(10N)(INDEX OR
		7	INDICES OR	WEIGHT OR	WEIGHTE	ED OF	WEIGHTING OR RANK OR RANKING
						K QUE	STION? ? OR QUESTIONNAIRE? ?
		OF		OR RESPON	-		
	S2	297	S1 AND (	RANK? ? OR	PRIORIT	ΓΙ?Ε)	
	S3	206	RD (unio	ue items)			
	S4	118	S3 NOT P				
	01	# # 0	05 1101 1	1,5001			
File	9. Bugine	see & Indust	· νυ (Β) .Tu1/1:	994-2005/Jun	12	13	
rire		005 The Gal		754 2005/0uii	13	13	(c) 2005 The Gale Group
File			71-2005/Jun	10		File	2:INSPEC 1969-2005/Jun W1
			: Info&Learn				(c) 2005 Institution of Electrical
File		•	(R) 1990-200	_		Engir	
		005 The Gale		,		_	35:Dissertation Abs Online 1861-2005/May
File			orter 1997-	2005/Jun 12			(c) 2005 ProQuest Info&Learning
	_	005 The Dial		•		File	65:Inside Conferences 1993-2005/Jun W1
File			& Industry	OB 1976-			(c) 2005 BLDSC all rts. reserv.
	Jun 13	-	-			File	99:Wilson Appl. Sci & Tech Abs 1983-2005/May
	(c)200	)5 The Gale	Group				(c) 2005 The HW Wilson Co.
File	275:Gale 0	Group Comput	er DB(TM) 1	983-2005/Jun	13	File	474:New York Times Abs 1969-2005/Jun 10
	(c) 20	005 The Gale	Group				(c) 2005 The New York Times
File	476:Financ	cial Times F	fulltext 198	2-2005/Jun 11		File	475:Wall Street Journal Abs 1973-2005/Jun 10
			al Times Ltd				(c) 2005 The New York Times
File			9-2005/Jun	10		File	583:Gale Group Globalbase(TM) 1986-2002/Dec 13
	• •	005 Business					(c) 2002 The Gale Group
File			36-1999/Feb	28		File	256:TecInfoSource 82-2005/Apr
	• •	999 Business					(c) 2005 Info.Sources Inc
File			·2005/Jun 12	_		File	6:NTIS 1964-2005/Jun W1
<b></b>			vire Associat	tion Inc		<b>5</b> 13 -	(c) 2005 NTIS, Intl Cpyrght All Rights Res
File			1999/Apr 30			File	7:Social SciSearch(R) 1972-2005/Jun W1
nil.			vire Associat			n:la	(c) 2005 Inst for Sci Info
	621:Gale	Froup New Pi	rod. Annou. (R	1985-2005/J	un	File	8:Ei Compendex(R) 1970-2005/May W5
13	(a) 20	ONE The Cale	Croun			E410	(c) 2005 Elsevier Eng. Info. Inc. 34:SciSearch(R) Cited Ref Sci 1990-2005/Jun Wl
rila		005 The Gale	•	5-2005/Jun 10		riie	(c) 2005 Inst for Sci Info
t TTC			Hill Co. Inc	2003/04II 10		File	434:SciSearch(R) Cited Ref Sci 1974-1989/Dec
File			Jun 1985-2	105/Jun 11		1116	(c) 1998 Inst for Sci Info
			Mercury Ne			File	94:JICST-EPlus 1985-2005/Apr W3
File			•	 1987-2005/Ju	n		(c) 2005 Japan Science and Tech Corp(JST)
				22. 200,00			, , , , , , , , , , , , , , , , , , ,

Set Items Description 129 STOLTZ (2N) ALLISON S1 File 47:Gale Group Magazine DB(TM) 1959-2005/Jun 13 (c) 2005 The Gale group File 287:Biography Master Index 1980-2004 (c) 2005 Gale Research Inc. File 486: Press-Telegram 1992- 2005/Jun 09 (c) 2005 Long Beach Press-Telegram File 642: The Charlotte Observer 1988-2005/Jun 11 (c) 2005 Charlotte Observer File 704: (Portland) The Oregonian 1989-2005/Jun 10 (c) 2005 The Oregonian File 721:Lexington Hrld.-Ldr. 1990-2005/Jun 09 (c) 2005 Lexington Herald-Leader File 723: The Wichita Eagle 1990-2005/Jun 09 (c) 2005 The Wichita Eagle File 732:San Francisco Exam. 1990- 2000/Nov 21 (c) 2000 San Francisco Examiner File 734: Dayton Daily News Oct 1990- 2005/Jun 09 (c) 2005 Dayton Daily News File 738: (Allentown) The Morning Call 1990-2005/Jun 10 (c) 2005 Morning Call File 781: ProQuest Newsstand 1998-2005/Jun 12 (c) 2005 ProQuest Info&Learning File 979:Milwaukee Jnl Sentinel Apr 1998-2005/Jun 11 (c) 2005 Milwaukee Jnl Sntl File 990:NewsRoom Current Jan 1 -2005/Jun 12 (c) 2005 The Dialog Corporation File 992:NewsRoom 2004 Jan 1-2004/Dec 31 (c) 2005 The Dialog Corporation File 993:NewsRoom 2003 (c) 2005 The Dialog Corporation File 994:NewsRoom 2002

Set Items Description
S1 1 (SEVERITY()RISK()INDEX)(7N)(CONSEQUENCE OR NON()COMPLIANCE)
File 654:US Pat.Full. 1976-2005/Jun 09

(c) Format only 2005 The Dialog Corp.

(c) 2005 The Dialog Corporation

Set

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Set Items Description
S1 3 (SEVERITY()RISK()INDEX)(S)(CONSEQUENCE OR NON()COMPLIANCE -
OR COMPLIANCE)
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File 340:CLAIMS(R)/US Patent 1950-05/Jun 09

(c) 2005 IFI/CLAIMS(R)

File 654:US Pat.Full. 1976-2005/Jun 09

Items Description

(c) Format only 2005 The Dialog Corp.

File 990:NewsRoom Current Jan 1 -2005/Jun 12

(c) 2005 The Dialog Corporation

S1	298	STATEMENT()ON()AUD	TING()STANDARDS AND (RISK(7N)(FACTOR OR
	F/	ACTORS OR INDEX OR IN	DICES))(S)(BUSINESS OR ENTERPRISE OR CO-
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S2	146	RD (unique items)	
\$3	64	S2 NOT PY>2001	,
S4	0	S1 AND PRIORITI?E	
S5	45	S1 AND PRIORITE	
S6	38	S5 NOT S3	
File 13:BAMP 20	05/Jun Wl		(c) 2005 Business Wire.
	5 The Gal		File 613:PR Newswire 1999-2005/Jun 12
File 15:ABI/Inf			(c) 2005 PR Newswire Association Inc
		t Info&Learning	File 620:EIU:Viewswire 2005/Jun 10
		(R) 1990-2005/Jun 13	(c) 2005 Economist Intelligence Unit
	5 The Gale		File 621:Gale Group New Prod.Annou.(R) 1985-2005/Jun
		porter 1997-2005/Jun 12	13
	5 The Dial		(c) 2005 The Gale Group
		ontents(R) 86-2005/Jun W1	File 625:American Banker Publications 1981-2005/Jun
	5 The Gale		10
13	oup Busine	ess A.R.T.S. 1976-2005/Jur	(c) 2005 American Banker File 649:Gale Group Newswire ASAP(TM) 2005/Jun 02
- ·	5 The Gale	e Group	(c) 2005 The Gale Group
		ase(R) 2005/Jun Wl	File 775:EdgarPlus(TM)-Reg. Statements 2004/Mar 09
		Financial	(c) 2004 Disclosure Inc
		& Industry DB 1976-	File 781:ProQuest Newsstand 1998-2005/Jun 12
2005/Jun 13			(c) 2005 ProQuest Info&Learning
· ·	The Gale	Group	File 990:NewsRoom Current Jan 1 -2005/Jun 12
		1985-2005/Jun 10	(c) 2005 The Dialog Corporation
(c) 200	5 format o	only The DIALOG Corp	File 991:NewsRoom 2005 Jan 1-2005/Jan 31
		arch(TM) 2005/Jun 13	(c) 2005 The Dialog Corporation
	5 The Gale		File 992:NewsRoom 2004 Jan 1-2004/Dec 31
		lustext 1986-2005/Jun W1	(c) 2005 The Dialog Corporation
	5 ProQuest		File 993:NewsRoom 2003
		DB 1971-2005/Jun W1	(c) 2005 The Dialog Corporation
		t Info&Learning	File 994:NewsRoom 2002
		FullText 1982-2004/Dec	(c) 2005 The Dialog Corporation
	5 The HW W		File 995:NewsRoom 2001
		kets 2000-2001/Oct 01	(c) 2005 The Dialog Corporation
File 610:Busines	1 Bridge	99-2005 /Jun 10	File 996:NewsRoom 2000 (c) 2005 The Dialog Corporation
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